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PTO/SB/21 (12-97)  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/132,799
Filing Date	August 13, 1998
First Named Inventor	Uwe Schonrock
Group Art Unit	1616
Examiner Name	
Attorney Docket Number	Beiersdorf 514-KGB

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below): <div></div>
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kurt G. Briscoe, Reg. No. 33,141 SPRUNG KRAMER SCHAEFER & BRISCOE
Signature	
Date	October 14, 1998

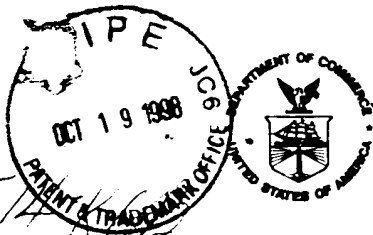
## CERTIFICATE OF MAILING

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Typed or printed name	Lee Ann DiLello
Signature	
Date	October 14, 1998

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UNITED STATES DEPARTMENT OF COMMERCE  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required be'cw to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

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DESI AVAILABLE

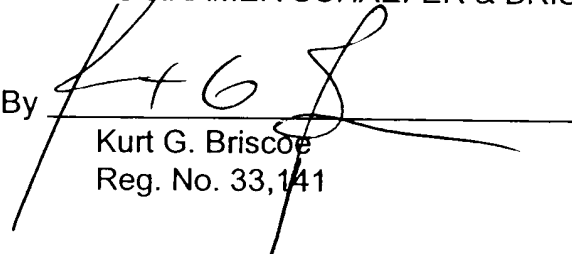
ADDITIONAL FEE

Please charge any insufficiency of fees, or credit any excess, to Deposit  
Account No. 19-3869.

Respectfully submitted,

SPRUNG KRAMER SCHAEFER & BRISCOE

By

  
Kurt G. Briscoe  
Reg. No. 33,141

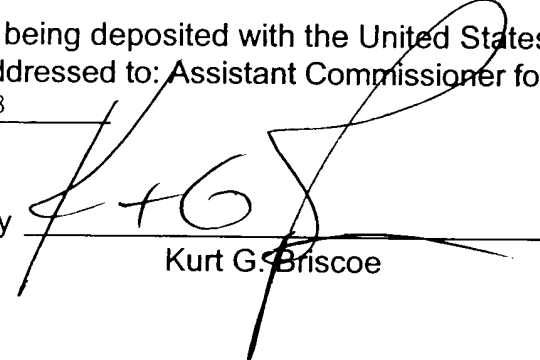
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Date 10/14/98

By

  
Kurt G. Briscoe

Applicant is

(X) other than small entity

It is requested that the surcharge for the filing of the Declaration be charged to the undersigned's Deposit Account No. 19-3869. Please charge:

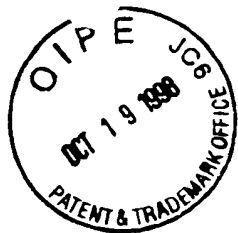
( ) \$ 65.00 for small entity

(X) \$130.00 for other than small entity

An extra copy of this Communication is appended hereto for accounting purposes.

CONDITIONAL PETITION FOR EXTENSION OF TIME

If any extension of time for this response is required, applicants request that this be considered a petition therefor. Please charge the required petition fee to Deposit Account No. 19-3869.



Beiersdorf 514-KGB:lad  
1120-Dr. Wi-ar 97/97

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Uwe Schönrock, et al.  
Serial No. : 09/132,799  
Filed : August 13, 1998  
For : COSMETIC OR DERMATOLOGICAL PREPARATIONS  
COMPRISING OLIGOPEPTIDES FOR LIGHTENING THE SKIN OF  
AGE MARKS AND/OR FOR PREVENTING TANNING OF THE SKIN,  
IN PARTICULAR TANNING OF THE SKIN CAUSED BY UV  
RADIATION  
Group Art Unit: 1616  
Examiner :

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October 14, 1998

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Application Branch

COMMUNICATION REGARDING FILING  
OF DECLARATION ON A DATE LATER  
THAN THE FILING DATE OF THE APPLICATION

10/22/1998 HPEDPLES 00000062 192869 09122799  
01 FC:105 Sir: 130.00 CH

This is in response to the Notice to File Missing Parts of Application under  
37 C.F.R. 1.53(d) dated August 28, 1998 in the above-identified application.

It is requested that the papers submitted herewith be joined up with the  
papers previously filed in this Application.